



Coff 213 4"1.

Attorney Docket No. AELL-110CP

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Todd D. Graham and Jonathan C. Hudson

Serial No:

09/989,479

Filing Date:

November 20, 2001

Title:

DYNAMIC FILE ACCESS CONTROL AND MANAGEMENT

Art Unit:

2154

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Date: 7-15-02

Diane Valeta

RECEIVED

Assistant Commissioner for Patents Washington, DC 20231

JUL 2 6 2002

INFORMATION DISCLOSURE STATEMENT

Technology Center 2100

Applicant and his attorney are aware of the following publications and information, listed on the attached PTO Form 1449, and in accordance with 37 CFR §1.97 hereby submit these publications for the Examiner's consideration. This Statement is being filed more than three months from the filing date of the application, but before the receipt of any Official Action from the Patent and Trademark Office. A full copy of each cited publication is enclosed.

Applicant certifies under §1.97 (e)(1) that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart for this application not more than three months prior to the filing of this Statement. No fee is required.

This statement is not to be interpreted as a representation that the cited publications are material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any publication herein be construed *per se* as a representation that such publication is prior art. Moreover, the Applicant understands that the Examiner will make an independent evaluation of the cited publications.

No additional costs are believed to be due in connection with the filing of this disclosure. However, please charge any necessary fees to our Deposit Account No. 50-1133.

Respectfully submitted,

David M. Mello, Reg. No. 43,799

McDermott, Will & Emery

28 State Street Boston, MA 02109 Tel (617) 535-4037

Fax (617) 535-3800

Date: July 15, 2002 BST99 1299884-1.062652.0013

PE /					AELL-110CP 09/989,479					
INFORMATION DISCLOSURE CYTATION (Use several sheets if necessary)					Applicant(s) Graham and Hudson					
•	1 111 2 7			DERIC STREET	Filing Date November 20		Group Art Unit 2154			
			SATENT 2 THE		ENT DOCUMENTS					
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME		CLASS	SUBCLASS	FILING IF APPRO		
		6,006,332	12/21/99	Rabne et al.			08/2	مرا		
		5,920,861	07/06/99	Hall et al.				IF APPRO	· Šo	
		5,765,152	06/09/98	Erickson				THE.	5	
		6,199,104	03/06/01	Delph					,	
						DEOF				
					, , , , , , , , , , , , , , , , , , ,	RECE	IVED			
						JUL 2	6 2002			
						Technology (Center 2100			
								<u> </u>		
				EODEIC	N DATENT DOCUMENT	re				
					FOREIGN PATENT DOCUMENTS Translation Translation					
	REF	DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUBCLASS	YES	NO	
				OTHER	DOCUMENTS (Includ	ing Author, Title,	Date, Pertinent P	ages, Etc.)		
						· · · · · · · · · · · · · · · · · · ·				
EXAMINER					DATE CONSIDERED					
		al if citation considered, whether			nce with MPEP Section 60	99; Draw line thro	ugh citation if no	t in conform	ance and	
not conside	rea. In	clude copy of this form with ne	at communication to	аррисант.						

Docket Number (Optional)

AELL-110CP

Application Number

09/989,479